

jc568 U.S. PTO
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Attorney Docket No. 2271/60895
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

JC568 U.S. PTO
09/471345
12/23/99

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Kyohji HATTORI, Fumiya OHMI, Kenichi AIHARA, Katsuyuki YAMADA, Yuki NAKAMURA, Eiji NODA, Michihisa TAKAHASHI, Yujiro KANEKO and Yukio IDE For

Inventor(s)

INITIALIZATION OF PHASE-CHANGE OPTICAL RECORDING MEDIUM

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 23 including:
 - a. 5 pages of claims (13 claims)
 - b. 1 page(s) Abstract
2. X 7 sheets of informal X formal drawings (Figs. 1-7)
3. Oath or declaration of Applicant(s) (2 total pages)
 - a. Newly executed (original or copy)
 - b. Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (*when there is an assignee*)
9. Power of Attorney
 - a. Newly executed (original or copy)
 - b. Unexecuted
 - c. Copy from a prior application

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10. ___ Information Disclosure Statement (IDS)/PTO-1449
___ Copies of IDS Citations
11. ___ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. ___ A small entity statement under 37 C.F.R. §1.9 and §1.27
___ Statement filed in prior application, status still proper and desired
14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*
☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____
15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--
16. X Priority of application No. 10-367529 filed in Japan on December 24, 1998. Applicants
hereby claim priority under 35 U.S.C. § 119.
X A certified copy of priority application No. 10-367529 is enclosed
___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	13 - 20	=	0	x	\$ 9	\$ 18	=	\$	\$ 0
Indep. Claims	2 - 3	=	0	x	\$ 39	\$ 78	=	\$	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$130	\$260	=	\$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 380	\$ 760
					Total Fee				\$ 760


18. X A check in the amount of \$ 760.00 to cover the filing fee.
19. ___ Please charge Deposit Account No. 03-3125 in the amount of \$ ___ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- ___ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. ___ Other (identify) _____

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Respectfully submitted,

December 23, 1999
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kyohji HATTORI, et al.

Serial No. :


Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : INITIALIZATION OF PHASE-CHANGE
OPTICAL RECORDING MEDIUM1185 Avenue of the Americas
New York, N.Y. 10036Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**EXPRESS MAIL**
LETTER OF TRANSMITTALExpress Mail mailing label number: EM157608900USDate of Deposit: December 23, 1999

I hereby certify that the above-identified application (consisting of a 23-page specification (including 5 pages of claims (13 claims) and a 1 page Abstract), and 7 sheets of formal drawings (Figs. 1-7)), 3 copies of an Application Transmittal Form, certified copy of Japanese Appln. No. 10-367529, and a check for the \$760 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Mail Room Employee
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